



Meeting of the Projekt DEAL-Wiley Agreement Advisory Board

Date: 27 October 2021

Attendees



Board members:

Prof. Dr. Sören Auer, Director, Head of research group Data Science and Digital Libraries (German National Library of Science and Technology)

Prof. Dr. Wolfram Koch, Executive Director (German Chemical Society)

Dr. Lars Kristiansen, Executive Director (International Brain Research Organization)

Prof. Dr. med. Beate A. Schücking, Rector (University of Leipzig)

Günter Waibel, Associate Vice Provost & Executive Director (California Digital Library)

Session chair and moderator: Wolfram Koch

Wiley attendees:

Dr. Guido F. Herrmann, Managing Director

Dr. Lorna Stimson, Publishing Director

Tanya McMullin, Manager OA transition

Natasha White, Senior Director OA transition

Josefa Fernández, Business Manager (minutes taker)

MPDLS attendees:

Dr. Ralf Schimmer, Director Scientific Information Provision (Max Planck Digital Library)

Colleen Campbell, Team Lead for External Engagement in the Open Access Transition (Max Planck Digital Library)

Kristine Gampe, Team Lead for MPDL Services Matters and Finances (Max Planck Digital Library)

Agenda



Item	Lead
I. Welcome and agenda	Wolfram Koch
II. Recap of recommendations made in June's Advisory Board Meeting	Natasha White
III. Updates since June's Board meeting <ul style="list-style-type: none">• Communications: Cost modeling tool• DEAL governance meeting• B15 conference• White Paper	Ralf Schimmer Guido F. Herrmann Colleen Campbell Lorna Stimson
IV. Change management: institutional engagement and stakeholder involvement <ul style="list-style-type: none">• Discuss recommendations and set out actionable items.	All Advisory Board members
V. Summary and setting future meetings	Guido F. Herrmann Ralf Schimmer

June 2021 Advisory Board meeting Recommendations Recap



- DEAL and Wiley should improve information regarding license choices to achieve "informed choices".
- Authors should remain to have the choice to select the most appropriate journal to publish his/her research.
- Need to convince all parties involved that this is the way forward, even though at first sight this maybe seen as difficult or unfavourable but in the long run it is not.
 - Launch of a “DEAL Cost Modelling Tool” to enable institutions to uncover their publishing trends and develop a broader perspective on the various cost dimensions.
 - An article-based cost/finance model with the publishers should be the base.
 - Publishing and reading component of the agreement should be included for research-intensive and non-research-intensive institutions, respectively.
- The DEAL agreement has a significant international importance and significance ("signal function"). Germany is among the pioneers in this area, which should be communicated as such.
 - Establish a mechanism to transmit/communicate the benefits of the transformative agreements better.
 - Speak to the heads of the DEAL institutions: Wiley and DEAL together should cooperate to strengthen the support as the whole system changes.
- Extend contract/agreement and position it as part of a broader digitization initiative. Not just covering financial terms but enhancing scholarly records. Bringing forward modern digital approaches.

DEAL Cost Modeling Tool

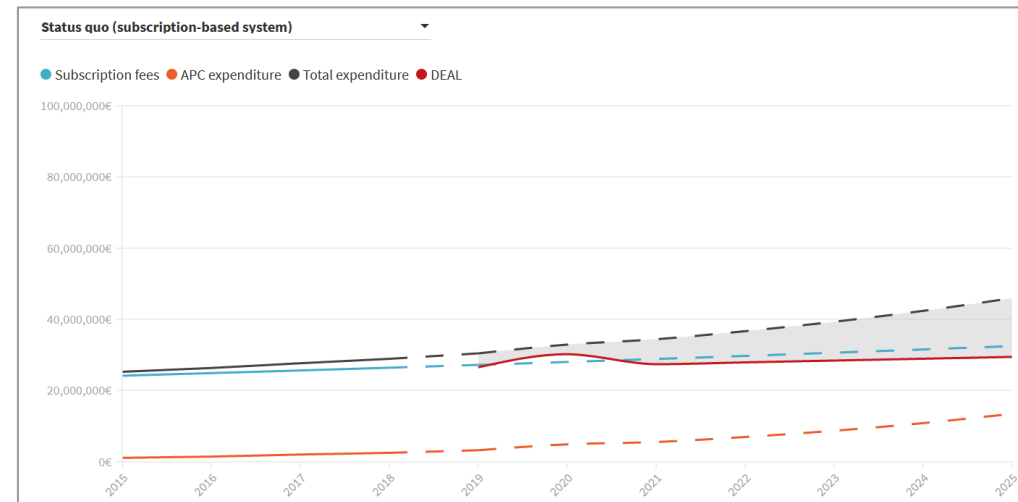
- An exercise to understand the full costs of reading & publishing in Germany for Wiley and Springer Nature
- An instrument to enable institutions to uncover their publishing trends and develop a broader perspective on the various cost dimensions

Entry point

[deal-operations.de/en/
here-is-the-deal/deal-cost-
modeling-tool](https://deal-operations.de/en/here-is-the-deal/deal-cost-modeling-tool)

Discussion paper

[hdl.handle.net/21.11116/0
000-0008-EEBC-9](https://hdl.handle.net/21.11116/000-0008-EEBC-9)



The DEAL Cost Modeling Tool

A practical contribution for evaluating the impact and costs of transformative open access publishing agreements

Ralf Schimmer, Ádám Dér, Colleen Campbell
MPDL Services GmbH

New DEAL Governance Structure



- Strong political support by Allianz, GWK and Wissenschaftsrat
- MPDL Services GmbH to be extended by 1 Jan 2022
 - Broadening stakeholder base to reflect full Allianz commitment
 - Increasing the capital stock
- New DEAL Gruppe established
 - Broadening participation, bringing in new members
 - Kick-off meeting 5-6 Oct 2021
 - Working groups established and ready to work
- Immediate next step: information and survey about to be sent by HRK

15th Berlin Open Access Conference

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The 15th Berlin Open Access Conference (B15) Adapt and Advance

Co-hosted by the University of California and the Open Access 2020 Initiative
September 28, 29, 30 and October 1, 2021



- 360 stakeholders and decision-makers from 46 countries
- Reflect on progress of transformative agreements (TAs) and current challenges
- Highlight opportunities for further adapting, improving and advancing TA strategies to foster a scholarly publishing system that is open, sustainable and equitable

B15 Main Page

<https://oa2020.org/b15-conference/>

Executive Summary

https://oa2020.org/wp-content/uploads/B15_ExecutiveSummary.pdf

Key topics and insights

Dissemination of scientific research results is integral to the research process

- Costs for dissemination comprise about 1-2% of the overall research budget

Academic leadership needs to take a coordinating role in the transition to OA

- Accurate data on money flow within institutions needed
- Structures and functions within the institutions need to be reorganized
- Strategic decision for Open Access:
 - copyrights stay with researchers
 - more visibility of own research
 - more transfer of acquired knowledge
 - Open access corresponding author output as measure for excellence in research

There is enough money in the system, but not always there where it is needed

- High-output institutions vs reading institutions
- High-income countries vs low-income countries
- Learned societies and high rejection-rate journals

Monitoring the transition to open access: the 2019-2020 Projekt DEAL–Wiley transformative agreement report

by Kai Karin Geschuhn and Colleen Campbell (MPDL Services GmbH); Natasha White, Lorna Stimson, Melanie Lehnert-Bechle and Thomas Gaston (Wiley); Lou Peck (The International Bunch)

- Provides context and outlines the position of Projekt DEAL, MPDL Services, and Wiley.
- Summarises the agreement and all activities related to it (publishing, read access, outreach, partnership activities, etc.)
- Reports publication, usage, open access data

Executive summary data highlights:

- When looking at articles from Germany published in journal issues, from 2018 to 2020:
 - 83% fewer subscription articles
 - 1208% increase in open access articles in hybrid journals
 - 86% increase in articles in fully open access journals
- In 2020, 93% of authors took advantage of the opportunity granted through the agreement and opted to retain copyright of their articles and publish them open access.
- In 2020, 94% validation and approval of eligibility and affiliations by Open Access Administrators
- 45% increase in usage (HTML/PDF) across Germany from 2018 to 2020